

# Structure Prediction of Au44(SR)28: A Chiral Superatom Cluster

Yong Pei,\* Sisi Lin, Jingcang Su, and Chunyan Liu

Department of Chemistry, Key Laboratory of Environmentally Friendly Chemistry and Applications of Ministry of Education, Xiangtan University, Hunan Province 411105, P. R. China

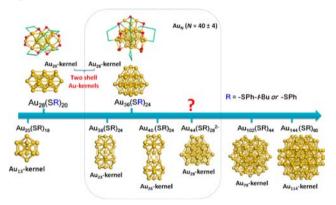
#### **Supporting Information**

**ABSTRACT:** The structure of a thiolate-protected Au<sub>44</sub> cluster,  $[Au_{44}(SR)_{28}]$ , is theoretically predicted via density functional theory calculations. Au<sub>44</sub>(SR)<sub>28</sub> is predicted to contain a "two-shell" face-centered-cubic type of Au kernel and possess chirality. The predicted cluster structure is validated by comparison of optical absorption properties between theory and previous experiments, as well as energy evaluations. Based on the predicted cluster structure, the magic stability of Au<sub>44</sub>(SR)<sub>28</sub> is understood from the superatom electronic configuration and formation of a unique double-helix superatom network inside.

T hiolate-protected gold nanoparticles (RS-AuNPs) or nanoclusters (NCs) are important types of self-assembled monolayer (SAM)-protected noble metal clusters. The synthesis and characterization of RS-AuNCs in the size range of 1-2 nm received intense research interest in the past decade.<sup>1-8</sup> Determining the atomic structure of these NCs is a major challenge for both experiment and theory, which greatly hinders in-depth understanding of their structural evolution and sizedependent properties.

Here we report the structural prediction of  $Au_{44}(SR)_{28}$ , a magic-numbered gold cluster protected by all-aromatic thiophenolate ligands (-SPh).<sup>20</sup> The dianionic  $Au_{44}(SR)_{28}$  has been widely cited as a superatom cluster with a unique 18e shell since its first synthesis in 2005, <sup>3,5,7,8,14–21</sup> but determining an accurate atomic structure of  $Au_{44}(SR)_{28}$  remains a grand challenge for both experiment and theory. Recently, the structural models were proposed for  $Au_{44}(SR)_{28}^{19f}$  and  $Au_{40}(SR)_{28}^{8b}$  based on the "general" trend that the inner Au kernels evolve from icosahedral atomic arrangement at smaller sizes to decahedral structures at

Scheme 1. Au Kernel Structures of Various Thiolate-Protected Gold Clusters That Have Been Either Resolved by Experiments or Predicted by Theory<sup>a</sup>



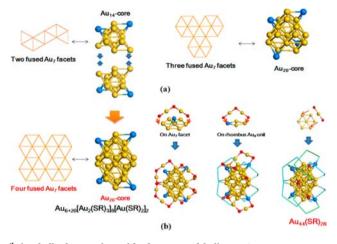
<sup>*a*</sup>In the case of the "two-shell" Au kernels displayed above the arrow, thiolate groups in the second shell are removed for clarity.

larger ones.<sup>14–19</sup> Nonetheless, one may find that some key parameters, such as the shape of the optical curve derived from the predicted cluster structures (for example, the recent prediction of  $Au_{44}(SR)_{28}^{19f}$ ), do not agree satisfactorily with the experimental measurements. An interesting question is raised about whether the "general" structural trend derived from the  $Au_{25}$ ,  $Au_{38}$ , and  $Au_{102}$  clusters is adequate for understanding the structure of  $Au_{44}(SR)_{28}$ .

Recently, the structures of  $Au_{28}(SPh-t-Bu)_{20}^{12}$  and  $Au_{36}(SPh-t-Bu)_{24}^{13a}$  clusters were successfully resolved. In  $Au_{28}$  and  $Au_{36}$  clusters, the unique "two-shell" face-centered-cubic (FCC)-type of Au kernels are observed for the first time, which are much different from the Au core structures in well-known  $Au_{25}$ ,  $Au_{38}$ , and  $Au_{102}$  clusters, as shown in Scheme 1.

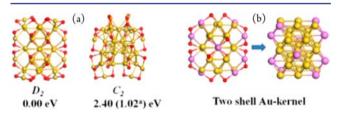
The cluster composition of Au<sub>44</sub>(SR)<sub>28</sub> is interesting in relation to Au<sub>28</sub>(SPh-*t*-Bu)<sub>20</sub><sup>12</sup> and Au<sub>36</sub>(SPh-*t*-Bu)<sub>24</sub>.<sup>13a</sup> First, the reported Au<sub>44</sub>(SR)<sub>28</sub> cluster<sup>20</sup> has thiophenolate as protecting ligand, similar to the *p*-thiophenolate protecting ligand in Au<sub>28</sub> and Au<sub>36</sub> clusters. Second, the Au<sub>44</sub> cluster can evolve from the Au<sub>28</sub> cluster via sequential addition of [Au<sub>8</sub>(SR)<sub>4</sub>] units, i.e., Au<sub>28</sub>(SR)<sub>20</sub> + [Au<sub>8</sub>(SR)<sub>4</sub>]  $\rightarrow$  Au<sub>36</sub>(SR)<sub>24</sub> + [Au<sub>8</sub>(SR)<sub>4</sub>]  $\rightarrow$  Au<sub>44</sub>(SR)<sub>28</sub>. The close similarities in the compositions of Au<sub>44</sub>, Au<sub>36</sub>, and Au<sub>28</sub> clusters imply intrinsic connections of their structures, which inspire us to re-examine the structure of Au<sub>44</sub>(SR)<sub>28</sub>.

Received: September 21, 2013 Published: November 25, 2013 Scheme 2. (a)  $Au_{14}$  Core in  $Au_{28}(SR)_{20}$  and  $Au_{20}$  Core in  $Au_{36}(SR)_{24}$ , and (b) Proposed  $Au_{26}$  Core and Assembly Process of  $Au_{44}(SR)_{28}{}^a$ 



<sup>a</sup>Blue balls denote the gold adatoms; red balls are S atoms.

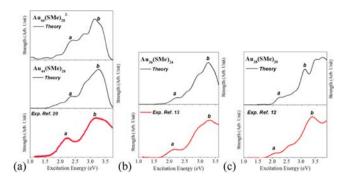
Scheme 2a displays the divided inner Au core structures of Au<sub>28</sub>(SPh-t-Bu)<sub>20</sub> and Au<sub>36</sub>(SPh-t-Bu)<sub>24</sub> according to the "divideand-protect" scheme.<sup>15,16</sup> For example, Au<sub>28</sub>(SPh-t-Bu)<sub>20</sub> and  $Au_{36}(SPh-t-Bu)_{24}$  can be divided into  $Au_{14}[Au_2(SR)_3]_4$ - $[Au_3(SR)_4]_2$  and  $Au_{20}[Au_2(SR)_3]_8$ , respectively. From Scheme 2a, the Au14 and Au20 cores both have hexagonal-like Au7 facets covered by symmetrically distributed gold adatoms. On the basis of the configurations of Au<sub>14</sub> and Au<sub>20</sub> cores, we propose a Au<sub>26</sub> core for  $Au_{44}^{-}(SR)_{28}$ . From Scheme 2b, the proposed  $Au_{26}$  core can be viewed as two Au<sub>14</sub> cores fused by sharing a gold dimer, with four symmetrically distributed gold adatoms on four hexagonal Au7 facets. The Au26 core is a reasonable candidate for building Au44(SR)28 according to the "divide-and-protect" scheme, e.g., Au<sub>6+20</sub>[Au<sub>2</sub>(SR)<sub>3</sub>]<sub>8</sub>[Au(SR)<sub>2</sub>]<sub>2</sub> (displayed in Table S1). From the proposed Au<sub>26</sub> core, we step-by-step wrap the dimeric and monomeric staple motifs through learning the structural features of Au<sub>28</sub>(SPh-t-Bu)<sub>20</sub> and Au<sub>36</sub>(SPh-t-Bu)<sub>24</sub> clusters (cf. Figure S1). From Scheme 2b, after adding eight



**Figure 1.** (a) Comparison of proposed structural models for the  $Au_{44}(SR)_{28}$  cluster: left, present model; right, model from ref 19f. Red and yellow denote S and Au atoms, respectively; methyl groups are omitted for clarity. "In parentheses is given the relative energy of the dianionic cluster. (b) The "two-shell" Au kernel in  $Au_{44}(SR)_{28}$ . Pink balls denote second-shell Au atoms contributed from the staple motifs.

dimeric staple motifs upon four hexagonal  $Au_7$  facets and four rhombus  $Au_4$  units, two sets of unprotected gold atoms are left on both sides of the  $Au_{26}$  core. Two monomeric staple motifs are then added to passivate them.

Figure 1 shows the optimized cluster structure of  $Au_{44}(SMe)_{28}$ (-R is simplified as a methyl group). It contains a  $D_2$ -symmetric Au-S framework and a "two-shell" Au kernel. The computational method and details are given in the Supporting Information.



**Figure 2.** Comparison of theoretical optical absorption curves with the experimental ones for (a)  $Au_{44}(SR)_{28}$  cluster in neutral and dianionic charge states, (b)  $Au_{36}(SR)_{24}$  cluster, and (c)  $Au_{28}(SR)_{20}$  cluster.

Energy computations at the PBE/TZP level indicate that the currently predicted structure is more stable by 2.4 or 1.02 eV in neutral and dianionic states, respectively, than the structure recently predicted by Jiang et al.<sup>19f</sup> Note that Jiang's  $Au_{44}$  model is based on the structural rule derived from  $Au_{25}$ ,  $Au_{38}$ , and  $Au_{102}$  clusters, which contains an intact  $Au_{28}$  core.<sup>19f</sup>

The optical absorption properties of optimized Au<sub>44</sub>(SMe)<sub>28</sub> are further examined. In Figure 2a, simulated optical absorption curves are displayed for Au44(SMe)28 in both neutral and dianionic states. The simulated optical absorption curve of neutral  $Au_{44}(SMe)_{28}$  is found to be in good agreement with previous experimental results.<sup>20</sup> The experimental optical gap  $(\sim 1.5 \text{ eV})$  as well as two feature absorption peaks (a and b) at nearly 2.2 and 3.2 eV are well reproduced. Nonetheless, the dianionic cluster, i.e.,  $Au_{44}(SMe)_{28}^{2-7}$ , has a much smaller HOMO/LUMO gap of ~0.3 eV. Weak absorption peaks are found in the lower excitation energy region (<1.5 eV). Figure 2b,c also displays the simulated optical absorption curves of  $Au_{28}(SMe)_{20}$  and  $Au_{36}(SMe)_{24}$  clusters. The good agreement between theoretical and experimental optical absorption curves of Au<sub>28</sub> and Au<sub>36</sub> clusters indicates the current theoretical methods can well reproduce optical properties of thiolated gold clusters.

Besides examining optical absorption properties, we evaluate the relative stability of the predicted structure of  $Au_{44}(SR)_{28}$ against  $Au_{36}(SR)_{24}$  and  $Au_{28}(SR)_{20}$  as well. Equation 1 shows

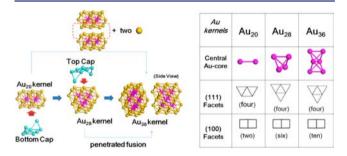
$$2Au_{36}(SMe)_{24} \to Au_{28}(SMe)_{20} + Au_{44}(SMe)_{28}$$
(1)

how two Au<sub>36</sub>(SR)<sub>24</sub> clusters can convert into a Au<sub>28</sub>(SR)<sub>20</sub> and a Au<sub>44</sub>(SR)<sub>28</sub>. Note the three clusters in eq 1 are all of neutral charge states: if Au<sub>44</sub>(SR)<sub>28</sub> is negatively charged, the charge-balance will break. Energy computations (PBE/TZP level) indicate that the conversion of two Au<sub>36</sub>(SMe)<sub>24</sub> clusters into a Au<sub>44</sub>(SMe)<sub>28</sub> and a Au<sub>28</sub>(SMe)<sub>20</sub> is slightly endothermic by 0.58 eV, suggesting the Au<sub>44</sub> cluster has relatively high thermodynamic stabilities against Au<sub>36</sub> and Au<sub>28</sub> clusters.

The present theoretical results raise a question about the charge state of  $Au_{44}(SR)_{28}$ , which was previously suggested to be a dianionic cluster.<sup>20</sup> An 18e shell has been widely used to explain the magic stability of  $Au_{44}(SR)_{28}$ <sup>2-3,5,7,8,14–21</sup> At present, our theoretical results indicate the dianionic  $Au_{44}(SR)_{28}$  has a quite small HOMO/LUMO gap (~0.3 eV). The properties of neutral  $Au_{44}(SR)_{28}$  show much better agreement with previous experimental results than the dianionic one, such as the optical gap and shape of optical curve displayed in Figure 2a, and the revealed evolution trend and possible interconversion of  $Au_{28}$ ,

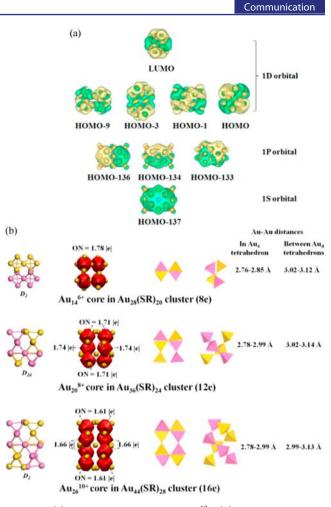
Au<sub>36</sub>, and Au<sub>44</sub> clusters from eq 1 support neutral Au<sub>44</sub>(SR)<sub>28</sub>. We hope future experiments can verify the charge state of Au<sub>44</sub>(SR)<sub>28</sub>. We note a new synthesis of neutral Au<sub>44</sub>(SR)<sub>28</sub> (R = SPh-*t*-Bu) was achieved recently, which confirms our theoretical prediction of the charge state of Au<sub>44</sub>(SR)<sub>28</sub>.<sup>22</sup>

Prediction of the cluster structure of Au<sub>44</sub>(SR)<sub>28</sub> allows us to further explore the intrinsic connections of properties between the Au44 cluster and the recently discovered Au28 and Au36 clusters. A striking structural feature of Au<sub>28</sub>(SR)<sub>20</sub> and Au<sub>36</sub>(SR)<sub>24</sub> is the formation of unique FCC-type "two-shell" Au kernels, i.e.,  $Au_{20}$  kernel and  $Au_{28}$  kernel, <sup>12,13</sup> respectively. From Figures 1 and 3, the  $Au_{44}(SR)_{28}$  contains a "two-shell"  $Au_{36}$ kernel as well, which demonstrates a clear trend in evolution from Au<sub>28</sub> and Au<sub>20</sub> kernels. First, the Au<sub>36</sub> kernel can be viewed as either the fusion of two Au<sub>20</sub> kernels sharing square (100) facets or the sequentially addition of a boat-like Au<sub>8</sub> cap at the bottom and top of a Au<sub>20</sub> kernel. Second, the Au<sub>36</sub> kernel has an edge-shared bi-tetrahedron Au<sub>6</sub> core, with an evolution pattern of Au dimer (in  $Au_{20}$  kernel)  $\rightarrow$  Au tetrahedron (in  $Au_{28}$  kernel)  $\rightarrow$  edge-shared Au bi-tetrahedron (in Au<sub>36</sub> kernel). Third, the second shell of Au<sub>36</sub> kernel has similar triangular (111) and square (100) facets to those of  $Au_{20}$  and  $Au_{28}$  kernels. The unique structure and evolution patterns of the three Au kernels suggest  $Au_{28}(SR)_{20}$ ,  $Au_{36}(SR)_{24}$ , and  $Au_{44}(SR)_{28}$  constitute a new family of thiolate-protected gold clusters. During the submission of our manuscript, Zeng et al. made a similar prediction of FCC-type Au kernel in  $Au_{44}(SR)_{28}$  but did not address the structure of the  $Au_{44}$ cluster, nor its relative stability and structure-dependent properties such as chirality and superatom properties.<sup>2</sup>



**Figure 3.** Left: Evolution of three "two-shell" Au kernels in  $Au_{28}(SR)_{20}$ ,  $Au_{36}(SR)_{24}$ , and  $Au_{44}(SR)_{28}$  clusters, respectively. Right: Comparison of structural features of three Au kernels.

The magic stability of  $Au_{44}(SR)_{28}$  is further addressed in terms of both superatom complex (SAC)<sup>3</sup> and superatom network (SAN)<sup>23</sup> models through analyzing the electronic structure of Au core. From Figure 1,  $Au_{44}(SR)_{28}$  is predicted to contain a  $Au_{26}$ core (protected by 10 staple motifs), which can be considered as Au<sub>26</sub><sup>10+</sup> according to the SAC model. The electronic structure analysis shows the  $Au_{26}^{10+}$  possesses delocalized 1S, 1P, and 1D superatom orbitals. As shown in Figure 4a, the 1P and 1D orbitals in Au<sub>26</sub><sup>10+</sup> are both non-degenerate, due to the nonspherical shape of the Au core. The HOMO Kohn-Sham (KS) orbital is the highest occupied 1D orbital, and the LUMO KS orbital corresponds to the unoccupied 1D orbital. The Au<sub>26</sub><sup>10+</sup> thus has a 1S<sup>2</sup>|1P<sup>6</sup>|1D<sup>8</sup> electronic configuration. The electronic structures of  ${\rm Au_{14}}^{6+}$  and  ${\rm Au_{20}}^{8+}$  in  ${\rm Au_{28}}({\rm SR})_{20}$  and  ${\rm Au_{36}}({\rm SR})_{24}$  clusters are also examined for comparison (Figure S2). The Au14<sup>6+</sup> and Au<sub>20</sub><sup>8+</sup> demonstrate delocalized superatom orbitals as well, in partial agreement with the recent theoretical analysis.  $^{13a,21a}\ensuremath{\,\mathrm{In}}$ particular, the  $Au_{20}^{8+}$  core in the  $Au_{36}$  cluster has a more clearly



**Figure 4.** (a) Superatomic orbitals in Au<sub>26</sub><sup>10+</sup>. (b) AdNDP analysis of Au<sup>z+</sup> cores in Au<sub>44</sub>(SR)<sub>28</sub>, Au<sub>36</sub>(SR)<sub>24</sub>, and Au<sub>28</sub>(SR)<sub>20</sub>. ON denotes the occupation number of the 4c-2e bond. Polyhedron denotes the superatom Au<sub>4</sub> unit.

defined shape of superatom orbitals than the other two Au cores due to the nearly spherical distribution of gold atoms.

On the other hand, geometric analysis of the  $Au_{26}$  core indicates it contains a network of eight  $Au_4$  tetrahedrons. From Figure 4b, the Au–Au bond lengths within the Au<sub>4</sub> tetrahedrons are in the range of 2.78–2.99 Å. The Au–Au distances between two nearby tetrahedrons within different tetrahedron chains are in the range of 2.99–3.13 Å. In view of these geometric features, we suspect the  $Au_{26}$  core can also be considered as a network of eight 4c-2e tetrahedron  $Au_4$  superatoms according to the SAN model proposed recently.<sup>23</sup> To confirm the speculation, we performed chemical bond analysis of  $Au_{26}^{10+}$  using the adaptive natural density partitioning (AdNDP) method.<sup>24</sup> AdNDP analysis is an efficient tool to explore the multicentered bonds of atomic clusters via a scheme of orbital transformations. From Figure 4b, eight 4c-2e bonds are found within  $Au_{26}^{10+}$ , which are divided into two groups with slightly different occupancy numbers (ON = 1.66 and ON = 1.61, respectively). The  $Au_{26}^{10+}$  can be viewed as a network of eight tetrahedron  $Au_4$ superatoms according to the SAN model.<sup>25a</sup>

A striking feature of the superatom network in  $Au_{26}^{10+}$  is that eight tetrahedron  $Au_4$  units arrange into a double-helix configuration. The tetrahedron  $Au_4$  superatoms in each chain are conjugated via a Au vertex. This kind of superatom network is different from those discovered in  $Au_{20}(SR)_{16}$  and  $Au_{24}(SR)_{20}$ ; the latter ones have a nonconjugate arrangement of two tetrahedron Au<sub>4</sub> superatoms.<sup>23</sup> From Figure 4b, we find that the Au cores in Au<sub>28</sub>(SR)<sub>20</sub> and Au<sub>36</sub>(SR)<sub>24</sub> clusters also contain two strings of conjugated tetrahedron Au<sub>4</sub> superatoms. An evolution trend of superatom networks in the three Au cores is clearly found (Figure 4b), which again shows close relations of Au<sub>28</sub>, Au<sub>36</sub>, and Au<sub>44</sub> clusters. Here we note the SAN explanation is not in conflict with the SAC model. The SAN model focuses on the delocalized multicentered bonding within a cluster. For example, ten 4c-2e bonds are found within the magic stable tetrahedron Au<sub>20</sub> cluster.<sup>24b</sup> The existence of 13c-2e superatom bonds in the bi-icosahedron Au<sub>23</sub> core of Au<sub>38</sub>(SR)<sub>24</sub> was also revealed recently.<sup>23b</sup> Those studies both provided new insights into the magic stability of gold clusters with or without ligand protections.<sup>24c</sup>

Finally, the  $D_2$ -symmetric structure of  $Au_{44}(SR)_{28}$  prompted us to examine its chiral properties, which were not discussed previously by either theory or experiment. The simulated circular dichroism (CD) spectra indicate the  $Au_{44}(SR)_{28}$  is a chiral cluster, which exhibits strong chiral responses in range of excitation energy of 1.5-3.5 eV (cf. Figure S3). The strongest rotatory strength of  $Au_{44}(SMe)_{28}$  is ~4 times higher than that of  $Au_{28}(SMe)_{20}^{12}$  and also much higher than that of  $Au_{38}(SMe)_{24}$ .<sup>16c</sup> The observed strong chiral response of  $Au_{44}(SMe)_{28}$  can be used as an alternative indicator to verify the predicted structure. We propose the separation of enantiomers and the use of chiral ligand will be promising ways to detect the chiral properties of  $Au_{44}$  clusters.

In summary, the structure of a  $Au_{44}(SR)_{28}$  cluster is predicted. The magic stability of  $Au_{44}(SR)_{28}$  is understood from the superatom electronic configuration and tetrahedral  $Au_4$ superatom network of the Au core. On basis of the predicted structure, a unified view of structural evolution and superatomic properties of  $Au_{44}(SR)_{28}$  and the recently discovered  $Au_{36}(SR)_{24}$ and  $Au_{28}(SR)_{20}$  clusters is provided, which proposes three clusters constitute a new family of thiolate-protected gold clusters.

## ASSOCIATED CONTENT

# **Supporting Information**

Computational details and characterization data. This material is available free of charge via the Internet at http://pubs.acs.org.

## AUTHOR INFORMATION

#### **Corresponding Author**

ypnku78@gmail.com

#### Notes

The authors declare no competing financial interest.

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